



OTR Allocation

OTR file generated from NCMS database by members:

Members shall first download OTR using OTR Modification Screen in NCMS. Post download of OTR file can be generated by members from their NCMS local database through the menu 'View> File generation' by selecting the file type as 'OTR' file. The name of the file can be defined by the user.

File format shall be as under:

Control Record Format:

Sr. No.	Control Record Field	Values
1	Control Record Type	01
2	File Indicator	OTR
3	Member Type	Value – M
4	Member Code	Value – TM code
5	Batch Date	Trade date in format YYYYMMDD
6	Batch No	Value – 01
7	No of Records	Total no of records in the file

Detail Record Format:

Sr. No	Field	Values
1	Record Type	Value = 10 records for which action is to be taken by members Value = 20,30 indicates for information only
2	Buy/Sell flag	Value B- Buy side, S – Sell side
3	Settlement Type	Settlement Type
4	Settlement Number	Settlement Number
5	Security Symbol	Security Symbol
6	Security series	Security series
7	CP code	for record type 10 – the value will be INST for record type 20,30 the value will be CP code , INST respectively
8	Obligation Quantity	Total Obligation quantity
9	Obligation Value	Total Obligation value
10	Contract Note Number	Contact Note Number as given by TM (will be given for record type 20 only and where the member has allocated to a CP code)
11	OTR No	A unique OTR no. generated (will be given for record type 20 only)
12	Confirmation flag	value = 'Y'/'N' or null (will be given for record type 20 only) This indicates the status of the record for which allocation is completed. Y indicates custodian confirmation, N indicates custodian reject, blank



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		indicates no action taken by custodian as yet
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OTR Allocation Input File Name: XXXXX_OTYYYYMMDD.Nnn

Where

XXXXX - Member code

OT- File type

YYYYMMDD – Trade Date

N - File Indicator

nn- Batch number

The file format should be comma separated variables (csv). The file shall be loaded in NCMS through the menu 'View> File Upload' by selecting the file type as 'OTR Allocations'.

File format shall be as under:

Control Record Format:

Sr. No	Field	Description	Data type	Length	Remarks
1	Record type	Header Record	CHAR	2	Value = 01
2	File Type	File Type	CHAR	4	Value = OTR
3	Member Type	Member Type	CHAR	1	Member Type (Value = M)
4	Member Code	Member Code	CHAR	5	Member code for which file generated/received (Value = TM Code)
5	Batch Date	Format : YYYYMMDD	CHAR	8	Should be same as that in the file name
6	Batch number	The batch number of the file sent	Number	2	Should be same as that in the file name
7	Number of records	Total number of records in the file	Number	7	Should be equal to the number of detailed records

Detail Record Format:

Sr. No	Field	Description	Data type	Length	Remarks
1	Record type	Detailed record	CHAR	2	Value – 10 for OTR Allocation Record.
2	Buy/Sell flag	Buy/Sell order	CHAR	1	Value B – Buy side , S – sell side
3	Settlement type	Settlement type	CHAR	1	Settlement type
4	Settlement Number	settlement Number	Number	7	settlement Number
5	Security symbol	Security symbol	CHAR	10	Security symbol



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Sr. No	Field	Description	Data type	Length	Remarks
6	Security series	Security series	CHAR	2	Security series
7	CP code	CP code	CHAR	12	The value will be INST
8	Allocated qty	Allocated qty	Number	9	Quantity to be allocated to a CP
9	Allocated Value	Allocated Value	Number	15,2	Value of the allocated qty
10	Custodian Participant Code	Custodian Participant Code	CHAR	12	The custodial participant code to which the qty and value is to be allocated
11	Contract note number	Contract note number	CHAR	10	This number is mandatory if the quantity, value is allocated to a valid CP
12	OTR No	Number in the return file	Char	10	This is required to be given if the TM desires to modify a record already allocated.

On loading of the file, a return file shall be generated in either 'Success' or 'Failure' folder in the following path in the member's local computer:

C:\NCMSData\Upload\ (in case the set up drive is C drive) .

OTR Output File Name (Return File):

In case of success – XXXXX_OTYYYYMMDD,DDMONYYYY,HH-MM-SS.Snn

In case of failure - XXXXX_OTYYYYMMDD,DDMONYYYY,HH-MM-SS.Rnn

Where

XXXXX - Member code

OT – File Type

YYYYMMDD - Trade Date

DDMONYYYY – Date-Month-Year

HH-MM-SS – Hrs-Mins-Sec

R - File is Rejected

S - File is successful

nn - Batch no.

A success (S) or reject (R) indicator is appended at the end of each record in the case of a return file. In case of rejected records a [rejection code](#) shall be appended