



# NATIONAL STOCK EXCHANGE OF INDIA LIMITED

'Exchange Plaza', Bandra-Kurla Complex, Bandra (E), Mumbai-400 051

## NOTICE

Notice is hereby given that the following trading members of the National Stock Exchange of India Limited (Exchange) have been declared defaulter, under Chapter XII of the Byelaws of the Exchange:

Sl. No.	Member Name	SEBI Regn. No.	Declaration Date
1.	Sai Soft Securities Limited	INB231414831 INF231414831	April 06, 2017
2.	Royal International Shares Private Limited	INB231443830 INF231443830 INE231443830	April 06, 2017
3.	Alka Securities Ltd	INB230629237 INF230629237 INE230629237	April 06, 2017

The constituents of the above mentioned defaulters are hereby advised to lodge claims, if any (in the prescribed claim form) against the above trading members within 3 months from the date of this notification and no such claims filed beyond 3 months will be entertained by the Exchange against the above trading members and it shall be deemed that no such claims exist against the above mentioned trading members or such claims, if any, shall be deemed to have been waived. The claims filed against the above trading members will be dealt with in accordance with the Bye-Laws, Rules, Regulations and procedures of the Exchange.

The maximum compensation limit per investor if found due & payable out of the Investor Protection Fund under the Bye-Laws, Rules and Regulations of the Exchange, is Rs.15 lakhs.

All the relevant papers may be sent to the Vice President, Investors Services Cell, National Stock Exchange of India Limited at 'Exchange Plaza', Bandra-Kurla Complex, Bandra (E), Mumbai 400 051. The claim forms can be downloaded from our website [www.nseindia.com](http://www.nseindia.com) or may be obtained from Exchange office at Mumbai and also at the Regional offices.

As per relevant rules, regulation and bye-laws of the SEBI/ Exchange, sub-brokers/ Authorized Persons (AP) affiliated with the aforementioned trading members, are not authorized henceforth to deal in that capacity. The list of these sub-brokers/ AP is available on [www.nseindia.com](http://www.nseindia.com). Investors may take note of the same.


For National Stock Exchange of India Ltd.

Sd/-

Place: Mumbai

Chief Manager

Date: 10th April, 2017

**NIFTY**  *Stock of the nation*



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### **CORRIGENDUM**

This is with reference to the Notice published in this Newspaper on 10th April 2017, following amendment has been made and should be read as:

**The maximum compensation limit per investor if found due & payable out of the Investor Protection Fund under the Bye-Laws, Rules and Regulations of the Exchange, is Rs.25 lakhs.**

All other details in the Notice shall remain unchanged.

For National Stock Exchange of India Ltd.

Sd/-

Place: Mumbai

Date: 12th April, 2017

Chief Manager

Compliance