



# NATIONAL STOCK EXCHANGE OF INDIA LIMITED

'Exchange Plaza', Bandra-Kurla Complex, Bandra (E), Mumbai-400 051

## NOTICE

Notice is hereby given that the following trading member of the National Stock Exchange of India Limited (Exchange) has been expelled under Rules 1 and 2 of Chapter IV of the Rules of the Exchange.

No.	Member Name	SEBI Regn. No.	Expulsion Date
1.	M/s. Unicon Securities Pvt. Ltd.	INB231283830 INF231283830 INE231283830	September 05, 2014

The constituents of the above mentioned expelled member are hereby advised to lodge claims, if any (in the prescribed claim form) against the above trading member within 3 months from the date of this notification and no such claims filed beyond 3 months will be entertained by the Exchange against the above trading member and it shall be deemed that no such claims exist against the above mentioned trading member or such claims, if any, shall be deemed to have been waived. The claims filed against the above trading member will be dealt with in accordance with the Bye-Laws, Rules, Regulations and procedures of the Exchange.

The maximum compensation limit per investor if found due & payable out of the Investor Protection Fund under the Bye-Laws, Rules and Regulations of the Exchange, is Rs.15 lakhs.

All the relevant papers may be sent to the Assistant Vice President, Investors Services Cell, National Stock Exchange of India Limited at 'Exchange Plaza', Bandra-Kurla Complex, Bandra (E), Mumbai 400 051. The claim forms can be downloaded from our website [www.nseindia.com](http://www.nseindia.com) or may be obtained from Exchange office at Mumbai and also at the Regional offices.

As per relevant rules, regulation and bye-laws of the SEBI/ Exchange, sub-brokers / Authorized Persons (AP) registered through M/s Unicon Securities Pvt. Ltd. ceased to exist and therefore, such sub-brokers / AP are not authorized henceforth to deal in that capacity. The list of these sub-brokers / AP is available on [www.nseindia.com](http://www.nseindia.com). Investors may take note of the same.

For National Stock Exchange of India Limited

Sd/-

Place: Mumbai

Chief Manager

Date: 10th September, 2014

Compliance



Stock of the nation