



NSE

National Stock Exchange of India Ltd.

'Exchange Plaza', Bandra-Kurla Complex, Bandra (E), Mumbai-400 051

NOTICE

Notice is hereby given that the following trading member of the National Stock Exchange of India Limited (Exchange) has been declared a defaulter under Byelaw 1(a) of Chapter XII of the Byelaws of the Exchange and expelled under Rules 1 and 2 of Chapter IV of the Rules of the Exchange:

Sr. No.	Name of the Trading Member	SEBI Regn. No.	Declaration Date
1.	GUINNESS SECURITIES LIMITED	INZ000167037	May 06, 2019

The constituents of Guinness Securities Limited are hereby advised to lodge claims, if any, in the prescribed claim form, against the above mentioned member within 3 months from the date of this notification and no such claims filed beyond 3 months will be entertained by the Exchange against the above mentioned member and it shall be deemed that no such claims exist against the above mentioned member or such claims, if any, shall be deemed to have been waived. The claims filed against the above mentioned member will be dealt with in accordance with the Byelaws, Rules, Regulations and the procedures of the Exchange.

The maximum compensation limit per investor is Rs. 25 lakhs out of the Investor Protection Fund, if found due and payable under the Byelaws, Rules and Regulations of the Exchange.

The claim form may be downloaded from <https://www.nseindia.com> or may be obtained from the corporate office at Mumbai or the regional / branch offices of the Exchange. The claim form along with the relevant papers may be sent to the Defaulters' Section of the Exchange at 'Exchange Plaza', Bandra-Kurla Complex, Bandra (E), Mumbai 400 051 or at the Regional / branch offices of the Exchange.

The authorized persons affiliated with the above mentioned member are not authorized henceforth to deal in that capacity. The list of such authorized persons is available on <https://www.nseindia.com>. Investors may take note of the same.

For National Stock Exchange of India Ltd.
Sd/-

Place: Mumbai
Date: 9th May, 2019

Senior Manager
Defaulters' Section