

National Stock Exchange of India Ltd.

'Exchange Plaza', Bandra-Kurla Complex, Bandra (E), Mumbai-400 051

NOTICE

Notice is hereby given that the following trading members of the National Stock Exchange of India Ltd. (Exchange) have been declared defaulter under Byelaw 1(a) of Chapter XII of the Byelaws of the Exchange and expelled under Rules 1 and 2 of Chapter IV of the Rules of the Exchange:

		0	
S.No.	Member Name	SEBI Regn. No.	Declaration Date
1.	Allied Financial Services Pvt. Ltd.	INZ000164832	4-11-2019
2.	Raghukul Shares India Pvt. Ltd.	INZ000184236	4-11-2019
3.	Credential Stock Brokers Ltd.	INB230903331 INF230903331	4-11-2019

The constituents of the above mentioned defaulter and expelled members are hereby advised to lodge claims, if any, in the prescribed claim form, against the above mentioned members within 3 months from the date of this notification and no such claims filed beyond 3 months will be entertained by the Exchange against the above mentioned members and it shall be deemed that no such claims exist against the above mentioned members or such claims, if any, shall be deemed to have been waived. The claims filed against the above mentioned members will be dealt with in accordance with the Byelaws, Rules, Regulations and the procedures of the Exchange.

The maximum compensation limit per investor is ₹ 25 lakhs out of the Investor Protection Fund, if found due and payable under the Byelaws, Rules and Regulations of the Exchange.

The claim form may be downloaded from https://www.nseindia.com or obtained from the corporate office at Mumbai or the regional / branch offices of the Exchange. The claim form, duly filled and signed, alongwith the relevant documents may be sent to the Defaulters' Section of the Exchange at 'Exchange Plaza', Bandra-Kurla Complex, Bandra (E), Mumbai 400 051 or the regional / branch offices of the Exchange. The claim can also be lodged online on the Exchange portal https://investorhelpline.nseindia.com/NICEPLUS/welcomeUser and the relevant documents can be uploaded.

For National Stock Exchange of India Ltd. Sd/-

Place: Mumbai Senior Manager Date: 8th November 2019 Defaulters' Section

