

15th October, 2021

National Stock Exchange of India Limited
Exchange Plaza, Plot No. C/1, G Block,
Bandra Kurla Complex,
Bandra (E),
Mumbai - 400 051
NSE Symbol: WABAG

BSE Limited,
Floor 25, P J Towers,
Dalal Street,
Mumbai - 400 001

BSE Scrip Code: 533269

Dear Sir / Madam,

Sub: Intimation under Regulation 31A of SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015 ("SEBI Regulations")

In compliance with the provisions of Regulation 31A of SEBI Regulations, we wish to inform that Company has received a request from Mr. Shiv Narayan Saraf, one of the promoters of the Company to reclassify his total equity shares i.e. 16,00,354 equity shares constituting 2.57% of the paid up capital from promoter category to public category.

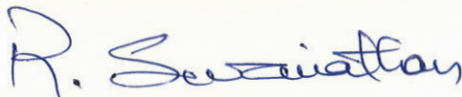
The Company will take all requisite approval in this regard as required by Regulation 31A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended.

In this regard, please find enclosed the request letter from the promoter and relevant evidence on being satisfied with the compliance of conditions mentioned in the applicable regulations.

Kindly take note of the above.

Thanking you,

For VA TECH WABAG LIMITED



R SWAMINATHAN
COMPANY SECRETARY & COMPLIANCE OFFICER
Membership No: A17696



Sustainable solutions, for a better life

Received on 15/10/2021 at 12:46 PM

Dated: 14th October 2021

From:

Shiv Narayan Saraf,

Promoter

VA TECH WABAG LTD.



To:

The compliance officer

VA TECH WABAG LTD.

Subject: Requisition letter to reclassify myself as a Public shareholder from the Promoter category of VA TECH WABAG LIMITED.

Ref: DP ID: IN300214, Client ID: 18965489. Number Of shares: 16, 00,354.00

I hereby inform you that with reference to the above subject, I wish to reclassify myself as a Public shareholder from the promoter category of M/S VA TECH WABAG LIMITED as I have already retired from the services of the company and do not have any control over the affairs of the company.

I hereby agree to the following dos and don'ts required to be done in this regard prior and post reclassification in compliance with SEBI regulations.

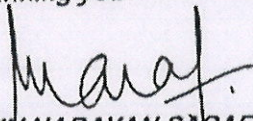
- 1. I will be considered as a connected person to the company for a period 6 months from the date of reclassification*
- 2. Shall not directly or indirectly exercise the control over the affairs of the company.*
- 3. Shall comply with VA TECH WABAG LIMITED code of conduct for prevention of insider trading during period as connected person.*
- 4. Shall comply with the provisions of trading plan during period as connected person*

5. *Shall not communicate provide or allow access to any unpublished price sensitive information, relating to company or securities to any person including other insiders except where such communication is in furtherance of legitimate purposes, performance of duties or discharge of legal obligations.*
6. *Shall not procure or cause the communication by any insider of unpublished price sensitive information, relating to company or securities listed or proposed to be listed, except in furtherance of legitimate purposes, performance of duties or discharge of legal obligations.*
7. *Shall not hold more than ten percent of the total voting rights in the company.*
8. *Shall not exercise control over the affairs of the listed entity directly or indirectly.*
9. *Shall not have any special rights with respect to the listed entity through formal or informal arrangements including through any shareholder agreements.*
10. *Shall not be represented on the Board of Directors (including not having a nominee director) of the listed entity (for 3 years from the date of reclassification)*
11. *Shall not act as a Key Management Person in the listed entity (for 3 years from date of reclassification)*
12. *Shall not be a wilful defaulter as per the Reserve Bank of India Guidelines.*
13. *Shall not be a fugitive economic offender.*

Upon failure to comply with continuous requirements mentioned above, I shall automatically be reclassified as Promoter / person belonging to promoter group, as applicable.

Kindly take on record the same and request you to intimate the same to the concerned regulatory authorities for reclassifying the category as public shareholder subject to complying with necessary compliance procedures

Thanking you


SHIV NARAYAN SARAF