

<p><b>To,</b>  <b>Listing Department</b>  <b>National Stock Exchange of India Limited</b>  Exchange Plaza  Bandra Kurla Complex  Bandra (East), Mumbai-400 05  Scrip Code:EMKAY</p>	<p><b>To,</b>  <b>Listing Department</b>  <b>BSE Limited</b>  P. J. Tower, Dalal Street,  Mumbai 400 001  Scrip Code:532737</p>
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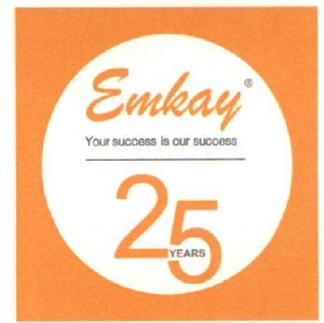
Dear Sirs,

**Sub: Statements pursuant to Regulation 10 (c) of the Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021**

Kindly find below the statements pursuant to Regulation 10 (c) of the Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 as specified in part E of Schedule I

1	Company Name and Address of Registered Office	<b>Emkay Global Financial Services Limited</b> The Ruby, 7 <sup>th</sup> Floor, Senapati Bapat Marg, Dadar (W), Mumbai – 400 028
2	Name of the recognized Exchanges on which the company's shares are listed	-BSE Limited -National Stock Exchange of India Limited
3	Filing date of statement referred in Regulation 10 (b) of the Regulations, with the recognized Stock Exchange.	Application for In –principal approval in terms of SEBI (ESOS and ESPS) Guidelines 1999 filed on BSE :19 <sup>th</sup> August 2009 & 8 <sup>th</sup> September 2009 (In principal approval received on 16 <sup>th</sup> September 2009 ) NSE :In principal approval received on 5 <sup>th</sup> October 2009
4	Filing Number, if any	NIL
5	Title of the stock option scheme pursuant to which shares are issued, if any.	Employees Stock Option Scheme-2007 (ESOP-2007)





6	Kind of Security to be listed	Equity Shares
7	Par Value of the Shares	Rs.10/- per share
8	Date of Issue of Shares	9 <sup>th</sup> September 2021
9	Number of Shares Issued	<b>14,000</b> equity shares under ESOP-2007
10	Share Certificate Number ,if applicable	N.A. – Shares will be issued in demat mode
11	Distinctive number of the share, if applicable	From 2,46,19,031 to 2,46,33,030
12	ISIN Number of the Shares if issued in Demat	INE296H01011
13	Exercise Price per share	Rs.10/- per shares –ESOP-2007
14	Premium per Share	Rs.65.60 per share-ESOP-2007
15	Total Issued Shares after this issue	2,46,33,030
16	Total Issued Share Capital after this issue	Rs. 24,63,30,300/-
17	Details of any lock –in on the shares	There is no lock-in after allotment of shares
18	Date of expiry of Lock-in	N.A
19	Whether shares are identical in all respects to the existing shares, if not, when will they become identical?	Yes, these shares are pari-passu in all respects with the existing shares including payment of dividend.
20	Details of Listing fees , if payable	N.A.

**For Emkay Global Financial Services Limited**

**B. M. Raul**  
**Company Secretary and Compliance officer**



Place: Mumbai

Date: 13<sup>th</sup> September 2021



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 Registered Office: The Ruby, 7th Floor, Senapati Bapat Marg, Dadar (West), Mumbai - 400 028. Tel: +91 22 6612 1212 Fax: +91 22 6612 1299 www.emkayglobal.com CIN - L67120MH1995PLC084899