

BHARAT FORGE

September 18, 2021

To,

BSE Limited,

1st Floor, New Trading Ring,
Rotunda Building, P.J. Towers,
Dalal Street, Fort,
Mumbai - 400 001
BSE SCRIP CODE – 500493

**National Stock Exchange of India
Ltd.,**

'Exchange Plaza',
Bandra-Kurla Complex, Bandra (East)
Mumbai- 400 051
Symbol: **BHARATFORG**
Series: **EQ**

Dear Sir/Madam,

Sub: Reporting of violations under the Code of Conduct for Insider Trading and Fair Disclosure of Unpublished Price Sensitive Information of the Company

Ref: SEBI/HO/ISD/ISD/CIR/P/2020/135 circular dated July 23, 2020

With reference to the above, please find enclosed herewith report on violations under the Code of Conduct for Insider Trading and Fair Disclosure of Unpublished Price Sensitive Information of the Company by the Designated Persons and/or their immediate relatives.

This is for your information.

Thanking you,

Yours faithfully,
For **Bharat Forge Limited**



Tejaswini Chaudhari
Company Secretary



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Reporting on Insider Trading violation under Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

SN	Particulars	Details												
1.	Name of the listed company/ Intermediary/Fiduciary	Bharat Forge Limited												
2.	Please tick appropriate checkbox Reporting in capacity of : <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary													
3.	A. Details of Designated Person (DP)													
	i. Name of the DP	Mahendra Patil												
	ii. PAN of the DP	ANRPP2023Q												
	iii. Designation of DP	Senior Manager- International Trade Division												
	iv. Functional Role of DP	Executive Assistant to Executive Director												
	v. Whether DP is Promoter or belongs to Promoter Group	No												
	B. If Reporting is for immediate relative of DP													
	i. Name of the immediate relative of DP	NA												
	ii. PAN of the immediate relative of DP	NA												
	C. Details of transaction(s)													
	i. Name of the scrip	Bharat Forge Limited BSE- 500493 NSE- BHARATFORG												
	ii. No of shares traded and value (Rs.) (Date-wise)	<table border="1"> <thead> <tr> <th>Date</th> <th>Qty</th> <th>Value</th> <th>Transaction</th> </tr> </thead> <tbody> <tr> <td>8-Sept-2021</td> <td>1</td> <td>771.40</td> <td>Sale</td> </tr> <tr> <td>9-Sept-2021</td> <td>1</td> <td>766.10</td> <td>Buy</td> </tr> </tbody> </table>	Date	Qty	Value	Transaction	8-Sept-2021	1	771.40	Sale	9-Sept-2021	1	766.10	Buy
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9-Sept-2021	1	766.10	Buy											
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter													
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA												
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA												
4.	Details of violations observed under Code of Conduct	DP executed contra-trade in the shares of the Company.												



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5.	Action taken by Listed company/ Intermediary/ Fiduciary	The Company took the following actions: a. Sought explanation in writing for the said violation; and b. Issued warning against any future violation.												
6.	Reasons recorded in writing for taking action stated above	Warning issued considering the amount involved and the fact that this was a first time violation by the DP.												
7.	Details of the previous instances of violations, if any, since last financial year	None												
8.	If any amount collected for Code of Conduct violation(s)													
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	None												
	ii. Details of transfer/ payment In case of online: NA													
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9.	Any other relevant information	None												



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