

National Stock Exchange Of India Limited**Department: INVESTOR SERVICES CELL**

Download Ref No: NSE/ISC/56457

Date: April 21, 2023

Circular Ref. No: 10/2023

To All Members,

Sub: Guidelines in pursuance of amendment to SEBI KYC (Know Your client) Registration Agency (KRA) Regulations, 2011

This is with reference to SEBI circular-SEBI/HO/MIRSD/DoP/P/CIR/2022/46 dated April 06, 2022.

Your attention is hereby drawn to point 11 of the above referred SEBI Circular, which states the following:

“Clients whose KYC records are not found to be valid by KRA after the validation process shall be allowed to transact in securities market only after their KYC is validated.”

Trading Members are requested to strictly comply with above referred requirement and ensure that clients whose KYC records are not found to be valid by KRA after the validation process shall be allowed to transact in securities market only after their KYC is validated by KRA.

Trading Members are advised to take note and comply with the above guidelines.

**For and on behalf of
National Stock Exchange of India Limited**

**Shanti Idnani
Associate Vice President**

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