
NATIONAL STOCK EXCHANGE OF INDIA LIMITED**DEPARTMENT : INVESTIGATION**

Download Ref. No.: NSE/INVG/31764

Date: February 18, 2016

Circular Ref. No.: 0047/2016

To All NSE Members

Sub: Sebi order in the matter of Trading Members in the matter of Illiquid Stock Options

This is with reference to NSE Circular no. NSE/INVG/31762 in respect of SEBI order no. WTM/RKA/ISD/ 25/ 2016 dated February 17, 2016 had restrained the following trading members from buying, selling or dealing in the securities markets, either directly or indirectly, in any manner, except as a stock broker for their existing clients in the cash segment:-

S. No	BSE TM ID	SEBI Regn ID	TM Name
1	5156	INF011490713	Mousumi Deb Roy
2	4029	INZ010013332	Odyssey Securities Pvt. Ltd
3	4036	INZ270002934	Geometry Vanijya Pvt. Ltd.
4	6566	INZ010011831	Kayan Securities Pvt. Ltd.
5	6493	INF011426039	Aryav Securities Pvt. Ltd.
6	6551	INZ010004836	Giriraj StockBroking Pvt. Ltd.
7	4041	INE261331537	NS Broking Pvt. Ltd.
8	6587	INE271431137	Concord Vinimay Pvt. Ltd.
9	4035	INZ000002030	MKB Securities Pvt Ltd
10	4034	INZ000006833	Bahubali Forex Pvt Ltd
11	6513	INZ010008522	Sunstar Securities
12	6395	INF011278836	MSB E-Trade Securities Ltd.
13	3135	INB011265734	Best Bull Stock Trading Pvt. Ltd.
14	6372	INB011428837	Skung Tradelink Ltd
15	5155	INF011486711	Lalit Kumar Tulshyan
16	M0681 (CSE code)	INB030707437	Subh Stock Broking Pvt. Ltd.
17	3052	INF011167139	R. K. Stock Holding Pvt. Ltd.

18	6122	INF011296432	Basan Equity Broking Limited
S. No	BSE TM ID	SEBI Regn ID	TM Name
19	3287	INZ010012235	Abans Securities Pvt. Ltd.
20	4032	INZ000001834	Mauzampurua Securities Broking Pvt Ltd
21	6468	INB011330231	Achintya Securities Pvt. Ltd.
22	3027	INB011146033	Guiness Securities Ltd.

BSE now vide its email dated February 18, 2016 has provided the following pan:-

S.No.	BSE TM ID	SEBI Regn ID	TM Name	PAN NO.
1	5156	INF011490713	Mousumi Deb Roy	AWLPR6540D
2	4029	INZ010013332	Odyssey Securities Pvt.Ltd.	AABCO1366R
3	4036	INZ270002934	Geometry Vanijya Pvt.Ltd.	AACCG6000B
4	6566	INZ010011831	Kayan Scurities Pvt.Ltd.	AABCK3359A
5	6493	INF011426039	Aryav Securities Pvt.Ltd.	AAICA8339D
6	6551	INZ010004836	Giriraj Stock Broking Pvt.Ltd.	AACCG7581R
7	4041	INE261331537	NS Broking Pvt.Ltd.	AACCN4934F
8	6587	INE271431137	Concord Vinimay Pvt.Ltd.	AADCC4910B
9	4035	INZ000002030	MKB Securities Pvt.Ltd.	AACCB7074J
10	4034	INZ000006833	Bahubali Forex Pvt.Ltd.	AAECB6882G
11	6513	INZ010008522	Sunstar Securities	ABOFS9148Q
12	6395	INF011278836	MSB E-Trade Securities Ltd.	AAACB2837M
13	3135	INB011265734	Best Bull Stock Trading Pvt.Ltd.	AACCB7733N
14	6372	INB011428837	Skung Tradelink Ltd.	AAOCS2485A
15	5155	INF011486711	Lalit Kumar Tulshyan	ADKPT8308H
16	M0681 (CSE code)	INB030707437	Subh Stock Broking Pvt. Ltd.	AAHCS7985C
17	3052	INF011167139	R. K. Stock Holding Pvt. Ltd.	AACCR0011K
18	6122	INF011296432	Basan Equity Broking Limited	AADCB2796E
19	3287	INZ010012235	Abans Securities Pvt. Ltd.	AAGCA6645Q
20	4032	INZ000001834	Mauzampurua Securities Broking Pvt Ltd	AAFCM7214E
21	6468	INB011330231	Achintya Securities Pvt. Ltd	AAFCM2199G
22	3027	INB011146033	Guiness Securities Ltd	AAACG9843L

The order shall come into force with immediate effect.

The detailed order is available on SEBI website (<http://www.sebi.gov.in>). Further, the

Regd. Office : Exchange Plaza, Bandra Kurla Complex, Bandra (E), Mumbai – 400 051

consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page under “Communiqué – Regulatory Actions”.

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to contact the following officials:

Mr. Chirag Jain (Extn: 22480), Ms. Shanti Idnani (Extn: 22401)

Direct No: 022-26598417/18

For National Stock Exchange of India Limited

Avishkar Naik

Chief Manager

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