

# National Stock Exchange of India Limited

## Circular

DEPARTMENT: INSPECTION	
Download Ref No: NSE/INSP/57441	Date: July 05, 2023
Circular Ref. No: 65/2023	

To All Trading Members,

### **Sub: Trading Preferences by Clients**

This has reference to SEBI circular no. SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2023/95 dated June 21, 2023 and Exchange circular no. NSE/INSP/57242 dated June 22, 2023, on the subject "Trading Preferences by Clients"

In this regard, in consultation with SEBI and other Exchanges, it is clarified as under.

- a. With reference to para 6 of the aforesaid SEBI circular, it is clarified that opting out facility should be provided to new as well as existing clients, and negative consent should be obtained separately from clients in writing. Trading Member's shall maintain records of such written negative consent provided by the clients for at least five years.
- b. Further, it is reiterated that for existing clients, Trading Member's shall offer access on all the active Stock Exchanges for the segments already opted by them, as a **default mode**.

Members are advised to take note of the above and ensure strict compliance.

**For and on behalf of**  
**National Stock Exchange of India Limited**

**Harinatha Reddy M**  
**Chief Manager**

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## National Stock Exchange of India Limited

In case of any clarifications, Members may contact our below offices:

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