

National Stock Exchange of India Limited

Circular

| DEPARTMENT: INSPECTION | |
|---------------------------------|---------------------|
| Download Ref No: NSE/INSP/57417 | Date: July 03, 2023 |
| Circular Ref. No: 64/2023 | |

To All Members,

Sub: Format of Contract Note for Tri-party Repo trades on TRM Platform

This has reference to Exchange circular ref no: NSE/DS/56010 dated March 17, 2023 on “Tri-party Repo product of AMC Repo Clearing Limited (ARCL) on TRM Platform”, wherein Exchange has agreed to offer the tri-party repo product of ARCL for trading on Exchange’s TRM Platform.

In this regard, the format of the Contract Note for Tri-party Repo trades on TRM Platform in Debt segment of the Exchange is enclosed as **Annexure 1** for reference.

Members are advised to issue their Contract Notes in the format prescribed and comply with the above requirement.

**For and on behalf of
National Stock Exchange of India Limited**

**Harinatha Reddy M
Chief Manager**

National Stock Exchange of India Limited

In case of any clarifications, Members may contact our below offices:

| Regional Office | CONTACT NO. | E MAIL ID |
|------------------------|---------------------------------|------------------------------|
| Ahmedabad (ARO) | 079-49008632 | inspectionahm@nse.co.in |
| Chennai (CRO) | 044- 66309915/17 | inspection_cro@nse.co.in |
| Delhi (DRO) | 011-23459127 / 38 / 46 | delhi_inspection@nse.co.in |
| Kolkata (KRO) | 033-40400412 / 59 | inspection_kolkata@nse.co.in |
| Mumbai (WRO) | 022-26598200 / 022-61928200 | compliance_wro@nse.co.in |
| Central Help Desk | compliance_assistance@nse.co.in | |

Revised/Supplementary
Original for recipient/ Duplicate for supplier**CONTRACT NOTE CUM TAX INVOICE**
(Tax Invoice under Section 31 of GST Act)**(FOR TRI PARTY REPO TRADES IN DEBT SEGMENT OF NSE) (PURSUANT TO REGULATION 3.5)**

| |
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| NAME OF THE MEMBER, THE MEMBER CODE, LOGO OF THE MEMBER SEBI REGISTRATION NO. ADDRESS, TELEPHONE NO, FAX NO AND WEBSITE |
| NAME OF THE COMPLIANCE OFFICER HIS/HER EMAIL & TELEPHONE NO, EMAIL ID FOR INVESTOR COMPLAINT |
| DEALING OFFICE ADDRESS, TELEPHONE NO, FAX NO |

| |
|---------------------------------------|
| CONTRACT NOTE NO. |
| Invoice Reference number (IRN) |
| Trade Date |
| Ready Leg Settlement Date |
| Forward Leg Settlement Date |

To
Name of the Client
Address of the Client
State/State Code (Place of supply)
PAN of Constituent
Unique Client Code No.
Trading Code No. of the Client
GST identification No. of Client (If available)

Sir/ Madam,
I / We have this day done by your order and on your account the following transactions:

| Order No. | Order Time | Trade No. | Trade Time | Security / Basket description | Settlement Type | Borrow/ Lend | Traded Value (amount Rs.) | Ready Leg Consideration/ amount (Rs.) | Repo Tenor | Repo Rate (%) | Forward Leg Consideration /Amount (Rs.) | Brokerage (Total) (Rs) |
|-----------|------------|-----------|------------|-------------------------------|-----------------|--------------|---------------------------|---------------------------------------|------------|---------------|---|------------------------|
| | | | | | | | | | | | | |

| Particulars | | Amount |
|-------------|----------------------------------|--------------|
| | Taxable Value of Supply | |
| | CGST* | Rate |
| | | Amount (Rs.) |
| | SGST* | Rate |
| | | Amount (Rs.) |
| | IGST* | Rate |
| | | Amount (Rs.) |
| | UTT* | Rate |
| | | Amount (Rs.) |
| | SEBI turnover Fees. (Rs.) | |
| | Stamp Duty (Rs.) | |

| | | | |
|--|--|--|--|
| | Exchange transaction charges (Rs.) | | |
| | Net amount receivable by Client / (payable by Client) (Rs.) | | |

* *CGST:-Central GST; SGST: - State GST; IGST:-Integrated GST; UTT: - Union Territory Tax. Details of trade-wise levies shall be provided on request.*

Brokerage has been charged as stated and has been at rates not exceeding the official scale of brokerage permitted by the Exchange and indicated separately.

This contract shall be governed and is subject to the Rules, Bye-laws and Regulations and Circulars of National Stock Exchange of India Limited and Securities and Exchange Board of India from time to time. It shall also be subject to the relevant Acts, Rules, Regulations, Directives, Notifications, Guidelines (including GST Laws) & Circulars issued by SEBI / Government of India / State Governments and Union Territory Governments issued from time to time. The Exchanges provide Complaint Resolution, Arbitration, and Appellate arbitration facilities at the Regional Arbitration Centres (RAC). The client may approach its nearest centre, details of which are available on Exchange's website. Please visit www.nseindia.com for NSE. The contract note shall be issued only for trades confirmed by the Exchange.

Date:

Place:

Yours faithfully,

For _____ (Name of Trading Member)

| | |
|-----------------------------|--|
| PAN of Trading Member | |
| GSTIN of Trading Member | |
| Description of Service | |
| Accounting code of services | |

Name & Signature/ Digital Signature of Partner / Proprietor / Authorized Signatory