

National Stock Exchange of India Limited

Circular

DEPARTMENT: INSPECTION	
Download Ref No: NSE/INSP/55402	Date: January 27, 2023
Circular Ref. No: 10/2023	

To All Trading Members,

Sub: Display of Details of Client Bank Accounts on Website

Members' attention is drawn to the Trading Regulation 6.1.5 (b) & (c) of Part A of the Capital Market segment and Trading Regulation 6.1.6.1 (b) & 6.1.6.2 of Future & Option segment of the Exchange on maintenance of separate bank account named as client bank account exclusively for the purpose of keeping clients' funds or receiving/transferring funds from/to clients.

With a view to bring more transparency in the dealings between the clients and the stock brokers and for the purpose of investor awareness, members are advised to display details of all their active client bank accounts on their website which are reported to Exchange in accordance with Exchange circular NSE/INSP/33276 dated September 27, 2016 on Enhanced Supervision of Stock Brokers. Details of client bank accounts to be displayed on website shall include Name of Bank Account, Bank Account number and IFSC along with following note

"Investors are requested to note that Stock broker (name of stock broker) is permitted to receive/pay money from/to investor through designated bank accounts only named as client bank accounts. Stock broker (name of stock broker) is also required to disclose these client bank accounts to Stock Exchange. Hence, you are requested to use following client bank accounts only for the purpose of dealings in your trading account with us. The details of these client bank accounts are also displayed by Stock Exchanges on their website under "Know/ Locate your Stock Broker".

Further, based on the details of Bank accounts provided by member under Enhanced Supervision, Exchange shall also display the details of Client Bank accounts of member on Exchange's website under "Know/ Locate your Stock Broker".

Members are advised to take note of the above and implement the provisions of the circular by March 15, 2023.

National Stock Exchange of India Limited

For and on behalf of
National Stock Exchange of India Limited

Naresh Sawana
Senior Manager– Inspection

In case of any clarifications, Members may contact our below offices:

Regional Office	E MAIL ID	CONTACT NO.
Ahmedabad (ARO)	inspectionahm@nse.co.in	079- 49008632
Chennai (CRO)	inspection_cro@nse.co.in	044- 66309915 / 17
Delhi (DRO)	delhi_inspection@nse.co.in	011- 23459127 / 38 / 46
Kolkata (KRO)	inspection_kolkata@nse.co.in	033-40400412/59
Mumbai (WRO)	compliance_wro@nse.co.in	Board Line: 022-25045000 / 022-61928200 Direct Line: 022-25045138 / 022-25045144 Extn: 28144/28138
Central Help Desk	compliance_assistance@nse.co.in	