National Stock Exchange of India Limited

Circular

DEPARTMENT: INSPECTION	
Download Ref No: NSE/INSP/50929	Date: January 05, 2022
Circular Ref. No: 04/2022	

To All Members,

Sub: Changes in the reporting format of data towards 'Client Level Cash & Cash Equivalent Balances'

Members' attention is drawn to Exchange circular NSE/INSP/50592 dated December 13,2021 regarding changes in the reporting format of data towards 'Client Level Cash & Cash Equivalent Balances'. As per said circular, revised format shall be applicable for the week ending on January 15,2022 and onwards.

In view of the representations received from member associations by the Exchange, date of implementation of the revised format mentioned in the said circular has been extended and accordingly, revised format shall be applicable for the week ending on February 12,2022 and onwards, the due date of which will be February 17,2022 and for each week thereafter.

Further, Exchange is pleased to inform that a mock facility is made available to members w.e.f. January 05,2022 for testing the new system and format. Path for accessing the system is as under:

- URL: https://uat.connect2nsccl.com/MemberPortal/
- User id: ApiUser<5 digit member code> (For example, if member code is 12345 then User id will be ApiUser12345)
- Member Code: Your respective Member code (5 digit)
- Password: Abcd@12345678

Procedure for submitting the information through the Inspection module in the UAT portal during the mock testing is enclosed as **Annexure-A**. All Members are requested to use this facility, train their staff on the same and ensure preparedness to meet this requirement.

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Members will have to submit the data for all calendar days of the week except Sunday on or before the next four trading days of subsequent week.

Members are requested to note that, once the revised format is implemented in the system, all submissions (including pending submission of previous period) shall be submitted in new format.

Members are requested to take note of the contents of the circular and comply.

For and on behalf of
National Stock Exchange of India Limited

Naresh Sawana
Senior Manager-Inspection

In case of any clarifications, Members may contact our below offices:

Regional Office	E MAIL ID	CONTACT NO.
Ahmedabad (ARO)	inspectionahm@nse.co.in	079- 49008632
Chennai (CRO)	inspection_cro@nse.co.in	044- 66309915 / 17
Delhi (DRO)	delhi_inspection@nse.co.in	011- 23459127 / 38 / 46
Kolkata (KRO)	inspection_kolkata@nse.co.in	033- 40400411 / 405
Mumbai (WRO)	compliance_wro@nse.co.in	Board Line: 022-25045000 / 022-61928200 Direct Line: 022-25045138 / 022-25045144 Extn: 28144/28138
Central Help Desk	compliance_assistance@nse.co.in	

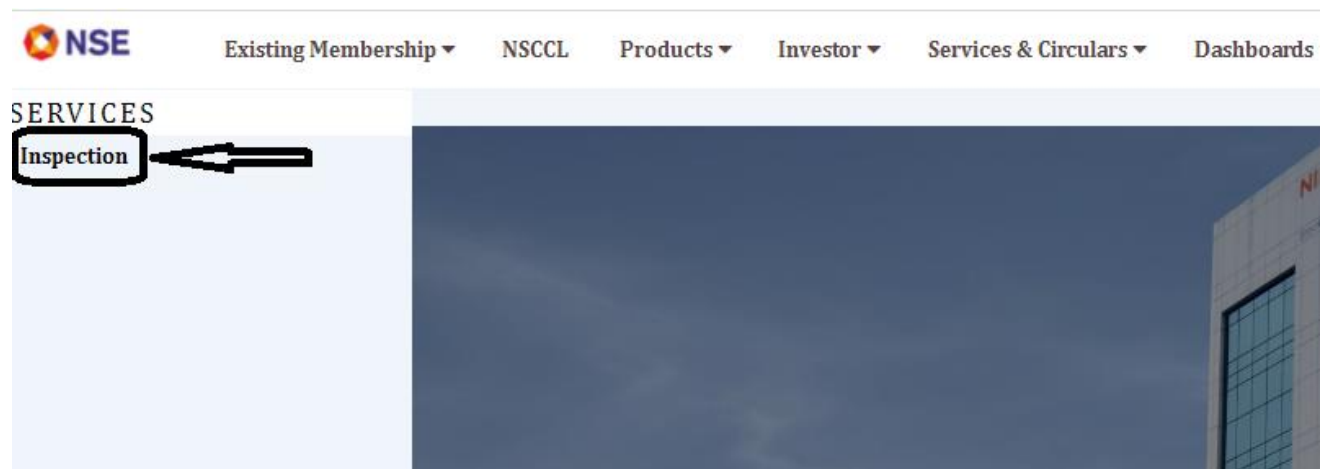# National Stock Exchange of India Limited

Annexure A

Manual for mock testing

Details of UAT access are as under:

• URL: https://uat.connect2nsccl.com/MemberPortal/
• User id: ApiUser<5 digit member code> (For example, if member code is 12345 then User id will be ApiUser12345)
• Member Code: Your respective Member code (5 digit)
• Password: Abcd@12345678

1. After login, from the above mentioned credentials, member is required to select 'Inspection' tab.

2. **Cash & Cash Equivalent Balances Submission Navigation**: Inspection --> Statement Upload --> Cash & Cash Equivalent Balances --> Submit data

    Click "Submit Data".
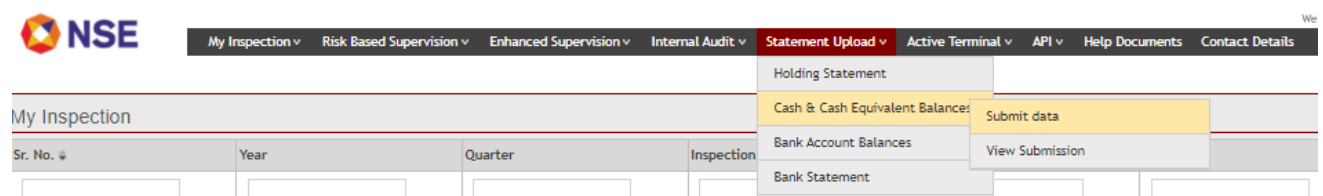
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3. After clicking the "Submit Data" button, the next screen will show the two options i.e. "Not-applicable Declaration" and "**Data Submission**".

   In case member is eligible for data submission then member is required to select "Data submission" option.

4. After clicking on "Data Submission" button the next screen will show the link for the week for which the data has to be submitted. Click "Submit details" against the date to proceed to enter the information sought.

5. After clicking on "Submit Details" button the next screen will show the link for the format. Click on the link of the format and a CSV file of the format will be downloaded.

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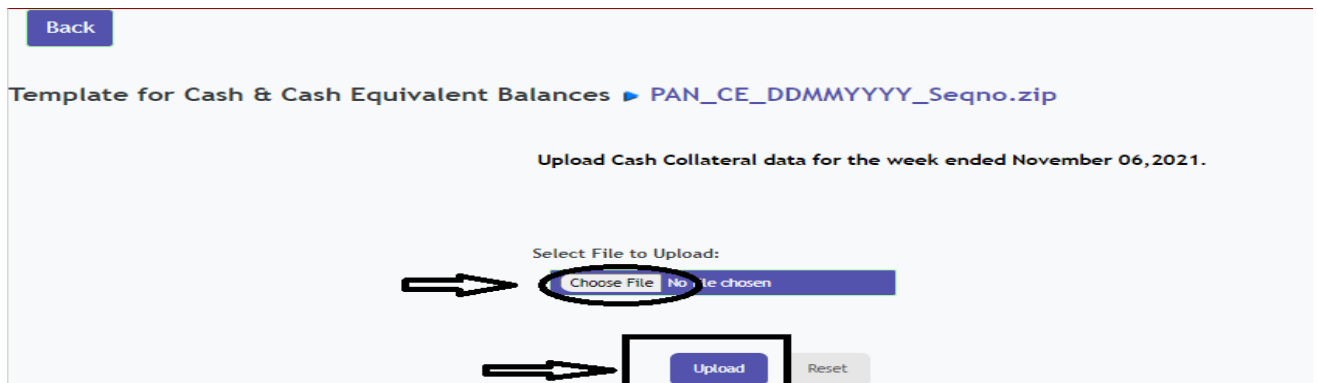
6. Fill the sought data (as per the guidelines provided by Exchange) in the downloaded file. File should be submitted in the required nomenclature provided.

7. Click on "Choose file" and proceed to submit the record by clicking the "Upload" button.
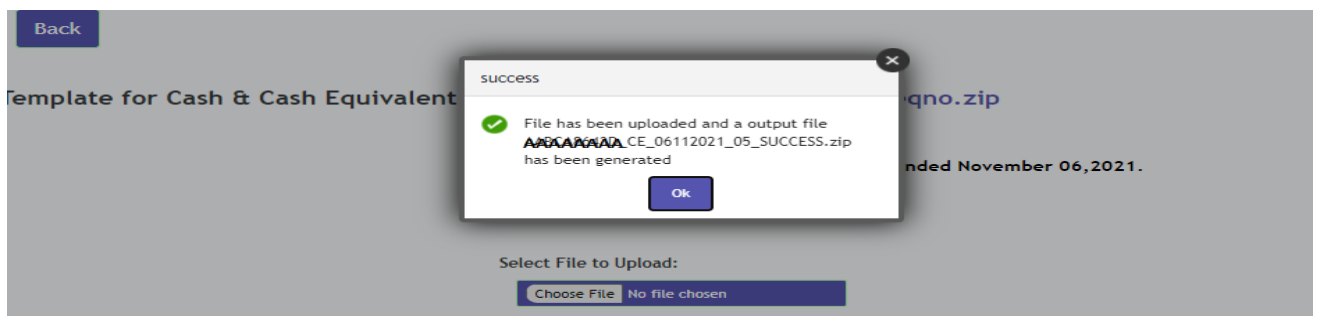
8. Files uploaded will be processed for validation check.

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9. Members are required to check the "View Submissions" window for success/failure status of the uploaded file(s). In case of failure status, members have to correct the data as per the remarks provided in the file and re-upload the file with correct data.

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