

National Stock Exchange of India Limited

Circular

DEPARTMENT: INSPECTION	
Download Ref No: NSE/INSP/50900	Date: January 04, 2022
Circular Ref. No: 02/2022	

To All Members,

Sub: Relaxation in timelines for compliance with regulatory requirements – COVID 19

Members' attention is drawn to SEBI circular SEBI/HO/MIRSD/DOP/P/CIR/2021/607 dated July 30, 2021, on the subject "Relaxation in timelines for compliance with regulatory requirements", wherein relaxations have been given to Members with respect to certain regulatory submissions/requirements and a copy of the said circular was enclosed vide Exchange circular NSE/INSP/49120 dated July 30, 2021.

In view of the representations received from Members', on account of the prevailing Covid-19 situation, and in consultation with SEBI and other Stock Exchanges, it has been decided to extend the timeline for compliance with the following regulatory requirement by the Trading Members.

S. No	Submission/ Compliance	Current timeline	Extended timeline
1	To operate the trading terminals from designated alternate locations, subject to the conditions specified in the Exchange circular NSE/INSP/43920 dated March 20, 2020.	Till December 31, 2021	Till March 31, 2022

Further, it is reiterated that the relaxation in timelines for compliance with the requirement of "Maintaining call recordings of orders/instructions received from clients" was extended till September 30, 2021, vide aforesaid SEBI circular SEBI/HO/MIRSD/DOP/P/CIR/2021/607 dated July 30, 2021, and no further extension has been granted after that. Hence, Members are advised to ensure compliance with the said regulatory requirement.

All Members are advised to take note of the above and comply.

National Stock Exchange of India Limited

For and on behalf of
National Stock Exchange of India Limited

Harinatha Reddy M
Senior Manager - Inspection

In case of any clarifications, Members may contact our below offices:

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