

National Stock Exchange Of India Limited**Department : Inspection**

Download Ref No: NSE/INSP/44088

Date: April 08, 2020

Circular Ref. No:24/2020

To All Members,

Sub: Relaxation in Compliance requirements - COVID-19

This has reference to the Exchange circulars NSE/INSP/43920 and NSE/INSP/44009 dated March 20, 2020 and March 27, 2020 respectively wherein Exchange has relaxed certain compliance requirements by Members on account of the prevailing coronavirus (COVID-19) pandemic.

In addition to the above and in view of the ongoing lock-down, the due dates for submitting the below mentioned reports has been extended:

Sr. No.	Submission/Compliance	Existing Due Date	Revised due Date
1	Client Funding Reporting	April 07, 2020	April 30, 2020
2	Reporting for Artificial Intelligence (AI) and Machine Learning (ML) applications	April 15, 2020	April 30, 2020
3	Quarterly Compliance Certificate	April 15, 2020	April, 30 2020
4	Risk based supervision	May 31, 2020	June 30, 2020
5	Internal Audit Report for HYE March-2020	May 31, 2020	June 30, 2020
6	System/Cyber Audit Report	June 30, 2020	July 31, 2020
7	Net worth/Compliance Certificate in Margin Trading for CM Segment for HYE March 2020	April 30, 2020	May 31, 2020
8	Net worth Certificate for all members for HYE March 2020	May 31, 2020	June 30, 2020

The due date for submitting the quarterly report on incidence of cyber-attacks and threats shall however remain unchanged i.e. 15 days after the end of the quarter.

Exchange shall be issuing separate circulars for the aforementioned submissions along with the revised timelines, in due course.

Members are requested to note of the same and comply.

**For and on behalf of
National Stock Exchange of India Limited**

**Srijith Menon
Chief Manager-Inspection**

In case of any clarifications, Members may contact our below offices:

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