

National Stock Exchange of India Limited

Circular

Department: FUTURES & OPTIONS	
Download Ref No: NSE/FAOP/49845	Date: October 05, 2021
Circular Ref. No: 78/2021	

All Members,

Mock trading on Saturday, October 09, 2021 – New version of NEAT+ 7.4.9

In continuation to our circular (Download No. 46779) dated December 23, 2020, Exchange will be conducting a mock trading session in the Futures & Options Segment on Saturday, October 09, 2021 as per the following schedule:

Mock trading from Primary Site:

Saturday, October 09, 2021	Time
Trading Session-1	
Normal Market open time	11:15 hrs
Normal Market close time	14:00 hrs
Contingency test start time	13:00 hrs
Contingency test end time	14:00 hrs

Mock trading from DR Site:

Saturday, October 09, 2021	Time
Trading Session-2	
Normal Market open time	15:00 hrs
Normal Market close time	15:30 hrs
Trade modification end time	15:40 hrs

Saturday, October 09, 2021	Time
Live Re-login start time	18:30 hrs
Live Re-login close time	19:00 hrs

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The Exchange shall be releasing new version of NEAT+ 7.4.9. The new version shall be available for download from October 08, 2021 at 17:00 hours onwards on NSE Extranet path faoftp/faocommon/NEATPlus749.

Login with the version NEAT+ 7.4.8 shall be discontinued from November 13, 2021 (Mock).

Members are requested to refer circular no NSE/MSD/49832 dated October 05, 2021 for new version of Neat Adapter EXE application. Accordingly, Members shall be able to login to the trading system from October 11, 2021 onwards with the following combination:

Frontend	Login through	Dates
NEAT+ 7.4.8	Old NEAT Adapter application Version 1.0.8 for Windows Neat Adapter Version 1.0.8 for Linux Neat Adapter	Shall be supported up to November 12, 2021
NEAT+ 7.4.9 (Onwards)	New NEAT Adapter application Version 1.0.9 for Windows Neat Adapter Version 1.0.9 for Linux Neat Adapter	Mandatory from November 13, 2021 (mock)

Members are also requested to refer to Exchange circular download ref no NSE/MSD/49777 dated September 30, 2021 for introduction of additional streams in the Futures & Options Segment. The same shall be available for testing in mock of October 9, 2021 and shall be effective from October 11, 2021 in LIVE.

In continuation to Exchange circular NSE/MSD/48662 dated June 18, 2021 regarding important guidelines in case of switchover of trading system to Disaster Recovery (DR), Members are requested to note the following:

- Net position Inquiry window in NEAT Front-End shall be disabled in case of switchover of trading system to DR site. The change shall be applicable from mock of October 9, 2021.

For other important instructions regarding the mock trading, kindly refer to the following Annexure:

Annexure 1: Important instructions regarding mock trading session.

Annexure 2: Pre-requisites / General guidelines for participating in the Mock environment.

Annexure 3: Important guidelines in case of switchover of trading system to Disaster Recovery (DR) Site.

**For and on behalf of
National Stock Exchange of India Limited**

**Khushal Shah
Associate Vice President**

Toll Free No	Email id
1800-266-0050 (Option 1)	msm@nse.co.in

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Annexure – 1

Important instructions regarding mock trading session

1. Refer to Exchange consolidated circular download ref no NSE/MSD/45703 dated September 15, 2020 for Interactive Connectivity Parameters.
2. Installation procedure for NEAT+ is available on extranet path /common/Installation_Procedure.
3. All the outstanding orders shall be purged before the start of each trading session. Members using NNF software should clear the outstanding orders in their systems before the start of each trading session.
4. Members are requested to refer to circular reference no. NSE/MSD/46441 regarding Testing of software used in or related to Trading and Risk Management. Members may choose to participate either in Mock Trading Session or Simulated environment for fulfilling their regulatory requirements in accordance to SEBI circular no. SEBI/HO/MRD1/DSAP/CIR/P/2020/234 dated November 24, 2020.
5. Trades resulting from this session shall not attract any obligation in terms of funds pay-in and/or pay-out.
6. Kindly participate actively in the mock trading session from all trading software and re-login into live environment to check the connectivity and to avoid login problems on Monday, October 11, 2021.
7. Kindly refer to the website of NSE at www.nseindia.com for any information which may be updated by the Exchange on the mock trading session.
8. In case of any queries please call Toll Free no: 1800 266 0050 (Option 1).

Annexure – 2

Pre-requisites / General guidelines for participating in the Mock environment

All members eligible to trade in FO segment in live market are enabled for participating in the mock trading sessions with their existing user ids, IP and Box Id mapping that of the live environment. Accordingly, members are requested to ensure the following:

1. You are able to successfully telnet the Exchange host from the IP you wish to participate
2. The Box ID with appropriate messages has been created on the IP in the respective segments you wish to participate.
3. In case you wish to participate via Non-NEAT frontend (NNF) applications, kindly ensure that the User Id is of type dealer, is converted for NNF and is mapped with the IP.
4. Members are required to set appropriate branch and / user limits from their corporate manager terminal in the respective segments, prior to placing orders in the Mock.
5. Members are requested to send requests via email pertaining to following activities with the details of User ID and segment for Exchange action only for the purpose of MOCK participation
 - a. Pro enablement
 - b. CTCL conversion
 - c. Password Reset for Corporate Manager user id
 - d. Unlocking of Corporate Manager user id

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6. Password Reset / Unlocking of all other types of user ids should be done from the corporate manger user id by the member in the respective segments.

Annexure – 3

Important guidelines in case of switchover of trading system to Disaster Recovery (DR) Site

1. Kindly note below points after switch over to DR site:
 - a. Trades of primary site will be available in Previous Trades window.
 - b. Trades can be modified/cancelled using Multiple Trade Modification and Cancellation window respectively.
 - c. Messages of primary site will be available in TWS Message Area.
2. If user renames or deletes the User folder and re-login the NEAT+ terminal, then data of primary site shall not be available in the functional window as mentioned above point.