

National Stock Exchange of India

Circular

Department: Compliance	
Download Ref No: NSE/COMP/53164	Date: August 01, 2022
Circular Ref. No: 62/2022	

To All Members,

Sub: Unique Device Identifiers for orders placed through Internet Based Trading (IBT) and Securities trading using Wireless Technology (STWT)

This has reference to NSE circular no. NSE/CM/034/2011 dated July 01, 2011 & SEBI circular no. CIR/MRD/DP/8/2011 dated June 30, 2011; regarding review of Internet Based Trading (IBT) and Securities trading using Wireless Technology (STWT) and NSE circular no. NSE/SURV/48818 dated July 01, 2021.

In view of the aforesaid circulars, Trading Members are required to capture the IP (Internet Protocol) address (from where the orders are originating) for all IBT/ STWT orders and monitor the same.

SEBI and Exchanges pursuant to discussions in joint surveillance meetings, have decided as under:

1. Trading Members are required to capture each device (Computer/Tablet/Mobile including Executable Applications, Browser based Apps, Mobile Apps) used to place enter/modify/cancel IBT/STWT orders by each client and store the prescribed fields as mentioned in point no. 3 below.
2. Trading Members are required to submit to the Exchange, the prescribed fields for each order that resulted in a trade on the Exchange separately in CM and F&O segment.
3. The aforesaid information would be in addition to the current trade and order structure. This information is required to be uploaded on extranet portal of the Exchange under <Member_folder>\Device_ID\Upld> in CSV format file at the end of trading day on daily basis as per below mentioned format:

Order timestamp	Order No	Symbol	Order Type	Trade Flag	Client IP address	Client User-Agent string	App install ID	Custom field 1	Custom field 2
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4. Trading Members may refer the **Annexure** to the circular for details of file structure, file naming convention and relevant technology details.
5. In case of single IBT/STWT orders placed through various devices, the prescribed fields have to be maintained for all such devices.
6. The trading members are required to take a note of the above and put in place the modifications in their procedures, systems, policies and processes etc. as may be required at Member's end for implementation of the same.

The collection of Device Identifier Attributes and processing of the collected data is for the following stated purpose(s):

- a. To aid SEBI & MIIs in effectively discharging their surveillance duties
- b. Protection of Investors by SEBI / MIIs / TMs

In this regard, Trading Members are advised to ensure that such data resides in India only.

This circular shall come into effect from August 26, 2022. As of date, this circular shall be applicable to Trading Members having 25 lakhs or more active clients during the F.Y. 2021-22 on either Exchange. In due course the aforesaid guidelines will be applicable to all Trading Members trading through all trading modes in a phased manner.

For any support, please reach out to the helpdesk on 1800 266 0050 (Select IVR option 3) or email at memcompliance_support@nse.co.in

For and on behalf of
National Stock Exchange of India Limited

Manasi Sawant
Senior Manager