

National Stock Exchange Of India Limited

Department : COMPLIANCE

Download Ref No: NSE/COMP/44932

Date : July 06, 2020

Circular Ref. No:58/2020

To All Members,

Sub: Reporting for Artificial Intelligence (AI) and Machine Learning (ML) applications and systems offered and used by market intermediaries

This is further to the Exchange Circular NSE/COMP/40174 dated February 07, 2019 and NSE/COMP/44078 dated April 07, 2020 regarding the Reporting of Artificial Intelligence (AI) and Machine Learning (ML) applications and systems offered and used by market intermediaries.

SEBI vide circulars SEBI/HO/ MIRSD/DOP/CIR/P/2020/101 dated June 19, 2020 and SEBI/HO/MIRSD/DOP/CIR/P/2020/112 dated June 30, 2020 has extended the timeline for Reporting of Artificial Intelligence (AI) and Machine Learning (ML) till July 31, 2020 for the quarters ended March 31, 2020 and June 30, 2020 respectively.

While currently the Members can undertake the reporting for the **quarter ended June 30, 2020** through NEW ENIT-COMPLIANCE – ‘ML and AI’ (link for the same will be activated from July 7, 2020). The procedure for upload through NEW ENIT-COMPLIANCE is given as Annexure.

Further, the procedure and the instructions to be followed by the members who have not uploaded the details for the **quarter ended March 31, 2020** through NEW ENIT - COMPLIANCE will be issued through a separate circular.

The members who don't use AI-ML are also required to submit the report.

All members are requested to take note of the same and ensure compliance.

**For and on behalf of
National Stock Exchange of India Limited**

**Srijith Menon
Associate Vice President**

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