

National Stock Exchange Of India Limited

Department: COMPLIANCE

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To All Members,

Sub: Creating investor awareness and safeguarding client's data

SEBI has communicated to the Exchange that certain fraudsters are collecting data of clients who are already into trading in Exchanges and send them bulk messages on the pretext of providing investment tips and luring them to invest with them in their bogus firms by promising huge profits. There is need to sensitize, create awareness amongst the investors about the same.

In view of the above, all the members are required to sensitize their clients and create awareness on the above issue. Further members are advised to take necessary steps to safeguard data of the clients /investors registered with them and not to share or reveal such data to unauthorized persons.

Members are advised to take note of the above and comply with the same.

For and on behalf of

National Stock Exchange of India Limited

Nipa Simaria Associate Vice President

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