

National Stock Exchange of India Limited

Circular

Department: CAPITAL MARKET SEGMENT	
Download Ref No: NSE/CMTR/57846	Date: August 03, 2023
Circular Ref. No: 94/2023	

All Members,

Mock trading on Saturday, August 05, 2023 – New version of NEAT+ 7.6.3

In continuation to our circular (Download No. 54865) dated December 16, 2022, Exchange will be conducting a mock trading session in the Capital Market Segment on Saturday, August 05, 2023, as per the following schedule:

Mock trading from Primary Site:

Saturday, August 05, 2023	Time
Trading Session – 1	
Morning Block Deal Window Session-1 open time	09:45 hrs
Morning Block Deal Window Session-1 close time	10:00 hrs
Pre-Open open time	10:00 hrs
Pre-Open* close time	10:08 hrs
Special Preopen Session open time (For IPO & Relisted security)	10:00 hrs
Special Preopen Session * close time (For IPO & Relisted security)	10:45 hrs
Normal Market open time	10:15 hrs
Normal market open time for stocks in special preopen session	11:00 hrs
Call Auction Illiquid session open time (1 session of 1 hour each)	10:30 hrs
Auction Market Open time	10:45 hrs
Auction Market close time	11:15 hrs
Contingency Start Time	10:30 hrs
Contingency Close Time	11:30 hrs
Normal Market close time	11:45 hrs

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Mock trading from DR Site:

Saturday, August 05, 2023	Time
Trading Session-2	
Pre-Open open time	12:15 hrs
Pre-Open* close time	12:23 hrs
Normal Market open time	12:30 hrs
Call Auction Illiquid session open time	12:40 hrs
Call Auction Illiquid session close time*	12:45 hrs
Normal Market close time	13:00 hrs
Closing Session open time	13:10 hrs
Closing Session close time	13:15 hrs
Trade Modification end time	13:15 hrs

Mock trading from Primary Site**:

Saturday, August 05, 2023	Time
Trading Session – 3	
Morning Block Deal Window Session-1 open time	13:30 hrs
Morning Block Deal Window Session-1 close time	13:35 hrs
Pre-Open open time	13:35 hrs
Pre-Open* close time	13:43 hrs
Special Preopen Session open time (For IPO & Relisted security)	13:35 hrs
Special Preopen Session * close time (For IPO & Relisted security)	14:20 hrs
Normal Market open time	13:45 hrs
Normal market open time for stocks in special preopen session	14:00 hrs
Call Auction Illiquid session open time (2 session of 1 hour each)	14:00 hrs
Auction Market Open time	14:30 hrs
Auction Market close time	15:15 hrs
Afternoon Block Deal Window Session-2 open time	15:25 hrs
Afternoon Block Deal Window Session-2 close time	15:40 hrs
Normal Market close time	16:30 hrs
Closing Session open time	16:40 hrs
Closing Session close time	16:50 hrs
Trade Modification end time	16:55 hrs

* Random closure in last one minute

**Trading Session-3 shall be a clean session. Hence, orders and trades from trading session 1 and 2 shall not be available in trading session 3. The Multicast TBT, sequence number shall also start from “1” once trading starts for Trading Session-3. Members are requested to actively participate in all 3 trading sessions.

Saturday, August 05, 2023	Time
Live Re-login start time	18:30 hrs
Live Re-login close time	19:00 hrs

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The Exchange shall be releasing new version of NEAT+ 7.6.3. The new version shall be available for download from August 04, 2023 at 17:00 hours onwards on NSE Extranet path: /common/NEATPlus763.

Login with the version NEAT+ 7.6.2 shall be discontinued from September 02, 2023 (Mock).

Further, members are also requested to note that Exchange shall be conducting additional Contingency drills/Mock trading sessions in the month of August 2023 as per below schedule:

Dates of Contingency Drill / Mock Trading Session
August 12, 2023
August 19, 2023
August 26, 2023

Additionally, members are requested to refer to Exchange circulars download ref no. [NSE/CMTR/57613](#) dated July 19, 2023 regarding Pre-Trade risk controls - Validation for Stop Loss Limit Order Entry. The same shall be available for testing in mock of August 05, 2023 and shall be effective from August 07, 2023 in LIVE.

For other important instructions regarding the mock trading, kindly refer to the following Annexures:

Annexure 1: Important instructions regarding mock trading session.

Annexure 2: Pre-requisites / General guidelines for participating in the Mock environment.

Annexure 3: Important guidelines in case of switchover of trading system to Disaster Recovery (DR) Site.

For and on behalf of
National Stock Exchange of India Limited

Khushal Shah
Associate Vice President

Toll Free No	Email id
1800-266-0050 (Option 1)	msm@nse.co.in

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Annexure – 1

Important instructions regarding mock trading session

1. Refer to Exchange consolidated circular download ref no NSE/MSD/45703 dated September 15, 2020 for Interactive Connectivity Parameters.
2. Installation procedure for NEAT+ is available on extranet path /common/InstallationProcedure.
3. Extranet facility will not be available on Saturday August 05, 2023 from 17:00 to 19:00 hours.
4. All the outstanding orders shall be purged before the start of each trading session. Members using NNF software should clear the outstanding orders in their systems before the start of each trading session.
5. Members are requested to refer to circular reference no. NSE/MSD/46441 regarding Testing of software used in or related to Trading and Risk Management. Members may choose to participate either in Mock Trading Session or Simulated environment for fulfilling their regulatory requirements in accordance to SEBI circular no. SEBI/HO/MRD1/DSAP/CIR/P/2020/234 dated November 24, 2020.
6. Trades resulting from this session shall not attract any obligation in terms of funds pay-in and/or pay-out.
7. With reference to Exchange circular NSE/ISC/51754 dated March 24, 2022 and NSE/ISC/52722 dated June 23, 2022 issued by Investor Services Cell and updated from time to time, only valid and compliant UCC / PAN uploaded by members before cutoff time in UCC system and approved by Exchange shall be available for trading in the mock session. In case of any queries for status of UCC and/or reporting, members may connect with UCI team. (uci@nse.co.in)
8. Unique Client Code (UCC) will not be validated for the Order entry during contingency time if any.
9. Kindly participate actively in the mock trading session from all trading software and re-login into live environment to check the connectivity and to avoid login problems on Monday, August 07, 2023.
10. Kindly refer to the website of NSE at www.nseindia.com for any information which may be updated by the Exchange on the mock trading session.
11. In case of any queries please call Toll Free no: 1800 266 0050 (Option 1).

Annexure – 2

Pre-requisites / General guidelines for participating in the Mock environment

All members eligible to trade in CM segment in live market are enabled for participating in the mock trading sessions with their existing user ids, IP and Box Id mapping that of the live environment. Accordingly, members are requested to ensure the following:

1. You are able to successfully telnet the Exchange host from the IP you wish to participate.
2. The Box ID with appropriate messages has been created on the IP in the respective segments you wish to participate.
3. In case you wish to participate via Non-NEAT frontend (NNF) applications, kindly ensure that the User Id is of type dealer, is converted for NNF and is mapped with the IP.
4. Members are required to set appropriate branch and / user limits from their corporate manager terminal in the respective segments, prior to placing orders in the Mock.

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5. Members are requested to send requests via email pertaining to following activities with the details of User ID and segment for Exchange action only for the purpose of MOCK participation.
 - a. Pro enablement
 - b. CTCL conversion (only dealer ID can be converted)
 - c. Password Reset for Corporate Manger user id
 - d. Unlocking of Corporate Manager user id
6. Password Reset / Unlocking of all other types of user ids should be done from the corporate manger user id by the member in the respective segments.

Annexure – 3

Important guidelines in case of switchover of trading system to Disaster Recovery (DR) Site

1. Kindly note below points after switch over to DR site:
 - a. Trades of primary site will be available in Previous Trades window.
 - b. Trades can be modified/cancelled using Multiple Trade Modification and Cancellation window respectively.
 - c. Messages of primary site will be available in TWS Message Area.
2. If user renames or deletes the User folder and re-login the NEAT+ terminal, then data of primary site shall not be available in the functional window as mentioned above point.