



Circular

| Department: CAPITAL MARKET SEGMENT | |
|------------------------------------|------------------------|
| Download Ref No: NSE/CMTR/50921 | Date: January 05, 2022 |
| Circular Ref. No: 03/2022 | |

All Members,

Mock trading on Saturday, January 08, 2022 - New version of NEAT+ 7.5.1

In continuation to our circular (Download No. 50701) dated December 20, 2021, Exchange will be conducting a mock trading session in the Capital Market Segment on Saturday, January 08, 2022 as per the following schedule:

Mock trading from Primary Site:

| Saturday, January 08, 2022 | Time |
|--|-----------|
| Trading Session – 1 | |
| Morning Block Deal Window Session-1 open time | 10:45 hrs |
| Morning Block Deal Window Session-1 close time | 11:00 hrs |
| Pre-Open open time | 11:00 hrs |
| Pre-Open* close time | 11:08 hrs |
| Special Preopen Session open time (For IPO & Relisted security) | 11:00 hrs |
| Special Preopen Session * close time (For IPO & Relisted security) | 11:45 hrs |
| Normal Market open time | 11:15 hrs |
| Normal market open time for stocks in special preopen session | 12:00 hrs |
| Normal Market close time | 15:30 hrs |
| Call Auction Illiquid session open time (4 sessions of 1 hour each) | 11:30 hrs |
| Auction Market Open time | 12:00hrs |
| Auction Market close time | 12:45 hrs |
| Afternoon Block Deal Window Session -2 Open time | 12:25 hrs |
| Afternoon Block Deal Window Session -2 close time | 12:40 hrs |
| Contingency Start Time | 13:00 hrs |
| Contingency Close Time | 15:00 hrs |



| Closing Session open time | 15:40 hrs |
|-----------------------------|-----------|
| Closing Session close time | 15:50 hrs |
| Trade Modification end time | 16:00 hrs |

* Random closure in last one minute

| Saturday, January 08, 2022 | Time |
|----------------------------|-----------|
| Live Re-login start time | 18:30 hrs |
| Live Re-login close time | 19:00 hrs |

The Exchange shall be releasing new version of NEAT+ 7.5.1. The new version shall be available for download from January 07, 2022 at 17:00 hours onwards on NSE Extranet path /common/NEATPlus751.

Login with the version NEAT+ 7.5.0 shall be discontinued from February 05, 2022 (Mock).

In continuation to Exchange circulars NSE/CMTR/50348 dated November 18, 2021 and NSE/CMTR/ 50773 dated December 27, 2021 regarding Modification in security master file on account of T+1 changes, please be advised the changes as mentioned in the aforesaid circular shall be effective from January 10, 2022 and will be available for testing in Mock of January 8, 2022

Further in continuation to Exchange circulars NSE/CMTR/50680 dated December 17, 2021 and NSE/CMTR/50774 dated December 27, 2021 regarding Modification in order and trade reports on account of T+1 changes. Members are requested to note that the changes as mentioned in the aforesaid circular shall be effective from January 10, 2022.

Members are requested to refer circular no. NSE/MSD/50873 dated January 05, 2022 for new version of Neat Adapter EXE application. Accordingly, Members need to use the following combination of NEAT+ and NEAT Adapter for changes related to T+1 settlement as mentioned in the aforesaid circulars in the NEAT+ frontend.

| Frontend | Login through | Dates |
|--------------------------|--|--|
| NEAT+ 7.5.1 (Onwards) | New NEAT Adapter application Version 1.0.10 for Windows Neat Adapter Version 1.0.10 for Linux Neat Adapter | Mandatory from February 05, 2022 (mock) |

For other important instructions regarding the mock trading, kindly refer to the following Annexures:

Annexure 1: Important instructions regarding mock trading session.

Annexure 2: Pre-requisites / General guidelines for participating in the Mock environment.

Annexure 3: Additional features



For and on behalf of National Stock Exchange of India Limited

Khushal Shah Associate Vice President

| Toll Free No | Email id |
|--------------------------|---------------|
| 1800-266-0050 (Option 1) | msm@nse.co.in |

Annexure – 1

Important instructions regarding mock trading session

- 1. Refer to Exchange consolidated circular download ref no NSE/MSD/45703 dated September 15, 2020 for Interactive Connectivity Parameters.
- 2. Installation procedure for NEAT+ is available on extranet path /common/Installation_Procedure.
- Members are requested to refer to circular reference no. NSE/MSD/46441 regarding Testing of software used in or related to Trading and Risk Management. Members may choose to participate either in Mock Trading Session or Simulated environment for fulfilling their regulatory requirements in accordance to SEBI circular no. SEBI/HO/MRD1/DSAP/CIR/P/2020/234 dated November 24, 2020.
- 4. Trades resulting from this session shall not attract any obligation in terms of funds pay-in and/or pay-out.
- 5. Kindly participate actively in the mock trading session from all trading software and re-login into live environment to check the connectivity and to avoid login problems on Monday, January 10, 2022.
- 6. Kindly refer to the website of NSE at www.nseindia.com for any information which may be updated by the Exchange on the mock trading session.
- 7. In case of any queries please call Toll Free no: 1800 266 0050 (Option 1).



Annexure – 2

Pre-requisites / General guidelines for participating in the Mock environment

All members eligible to trade in CM segment in live market are enabled for participating in the mock trading sessions with their existing user ids, IP and Box Id mapping that of the live environment. Accordingly, members are requested to ensure the following:

- 1. You are able to successfully telnet the Exchange host from the IP you wish to participate
- 2. The Box ID with appropriate messages has been created on the IP in the respective segments you wish to participate.
- 3. In case you wish to participate via Non-NEAT frontend (NNF) applications, kindly ensure that the User Id is of type dealer, is converted for NNF and is mapped with the IP.
- 4. Members are required to set appropriate branch and / user limits from their corporate manager terminal in the respective segments, prior to placing orders in the Mock.
- 5. Members are requested to send requests via email pertaining to following activities with the details of User ID and segment for Exchange action only for the purpose of MOCK participation
 - a. Pro enablement
 - b. CTCL conversion (only dealer ID can be converted)
 - c. Password Reset for Corporate Manger user id
 - d. Unlocking of Corporate Manager user id
- 6. Password Reset / Unlocking of all other types of user ids should be done from the corporate manger user id by the member in the respective segments.

Annexure 3

Additional features

Order entry value check is introduced as below:

- 1. On and above existing quantity freeze check, any order placed with the value exceeding the permissible limit, shall be rejected and an error message "The order value has exceeded maximum permissible limit." on TWS. This shall be applicable for orders placed in Preopen Market, Normal market, Closing session, Call Auction Illiquid sessions and Special preopen session.
- 2. Securities' settlement type (T+1 or T+2) shall be displayed in below mentioned screens:
 - (a) Security descriptor (Shift + F8)
 - (b) Column "Settlement Type" in Market watch
 - (c) Market Inquiry (F11)