



Circular

| Department: CAPITAL MARKET SEGMENT | | |
|------------------------------------|--------------------------|--|
| Download Ref No: NSE/CMTR/49470 | Date: September 01, 2021 | |
| Circular Ref. No: 84/2021 | | |

All Members,

Mock trading on Saturday, September 04, 2021 - New version of NEAT+ 7.4.8

In continuation to our circular (Download No. 46779) dated December 23, 2020, Exchange will be conducting a mock trading session in the Capital Market Segment on Saturday, September 04, 2021 as per the following schedule:

Mock trading from Primary Site:

| Saturday, September 04, 2021 | Time |
|--|-----------|
| Trading Session – 1 | |
| Morning Block Deal Window Session-1 open time | 10:45 hrs |
| Morning Block Deal Window Session-1 close time | 11:00 hrs |
| Pre-Open open time | 11:00 hrs |
| Pre-Open* close time | 11:08 hrs |
| Special Preopen Session open time (For IPO & Relisted security) | 11:00 hrs |
| Special Preopen Session * close time (For IPO & Relisted security) | 11:45 hrs |
| Normal Market open time | 11:15 hrs |
| Normal market open time for stocks in special preopen session | 12:00 hrs |
| Normal Market close time | 14:00 hrs |
| Call Auction Illiquid session open time (2 sessions of 1 hour each) | 11:30 hrs |
| Auction Market Open time | 12:00hrs |
| Auction Market close time | 12:45 hrs |
| Afternoon Block Deal Window Session -2 Open time | 12:25 hrs |
| Afternoon Block Deal Window Session -2 close time | 12:40 hrs |
| Contingency Start Time | 13:00 hrs |
| Contingency Close Time | 14:00 hrs |



Mock trading from DR Site:

| Time |
|-----------|
| |
| 14:45 hrs |
| 14:53 hrs |
| 15:00 hrs |
| 15:30 hrs |
| 15:00hrs |
| 15:15hrs |
| 15:40 hrs |
| 15:50 hrs |
| 16:00 hrs |
| |

* Random closure in last one minute

| Saturday, September 04, 2021 | Time |
|------------------------------|-----------|
| Live Re-login start time | 18:00 hrs |
| Live Re-login close time | 18:30 hrs |

The Exchange shall be releasing new version of NEAT+ 7.4.8. The new version shall be available for download from September 03, 2021 at 17:00 hours onwards on NSE Extranet path /common/NEATPlus748.

Login with the version NEAT+ 7.4.6 shall be discontinued from September 04, 2021 (Mock).

Login with the version NEAT+ 7.4.7 shall be discontinued from October 09, 2021 (Mock).

Members are requested to refer circular no NSE/MSD/49468 dated September01, 2021 for new version of Neat Adapter EXE application. Accordingly, Members shall be able to login to the trading system from September 06, 2021 onwards with the following combination:

| Frontend | Login through | Dates |
|--------------------------|--|---|
| NEAT+ 7.4.7 | Old NEAT Adapter application Version 1.0.7 for Windows Neat Adapter Version 1.0.7 for Linux Neat Adapter | Shall be supported up to October 08, 2021 |
| NEAT+ 7.4.8 (Onwards) | New NEAT Adapter application Version 1.0.8 for Windows Neat Adapter Version 1.0.8 for Linux Neat Adapter | Mandatory from October 09, 2021 (mock) |

For other important instructions regarding the mock trading, kindly refer to the following Annexures:



Annexure 1: Important instructions regarding mock trading session. Annexure 2: Pre-requisites / General guidelines for participating in the Mock environment. Annexure 3: Important guidelines in case of switchover of trading system to Disaster Recovery (DR) Site.

For and on behalf of National Stock Exchange of India Limited

Khushal Shah Associate Vice President

| Toll Free No | Fax No | Email id |
|--------------------------|-----------------|---------------|
| 1800-266-0050 (Option 1) | +91-22-26598449 | msm@nse.co.in |

Annexure – 1

Important instructions regarding mock trading session

- 1. Refer to Exchange consolidated circular download ref no NSE/MSD/45703 dated September 15, 2020 for Interactive Connectivity Parameters.
- 2. Installation procedure for NEAT+ is available on extranet path /common/Installation_Procedure.
- 3. All the outstanding orders shall be purged before the start of each trading session. Members using NNF software should clear the outstanding orders in their systems before the start of each trading session.
- 4. Members are requested to refer to circular reference no. NSE/MSD/46441 regarding Testing of software used in or related to Trading and Risk Management. Members may choose to participate either in Mock Trading Session or Simulated environment for fulfilling their regulatory requirements in accordance to SEBI circular no. SEBI/HO/MRD1/DSAP/CIR/P/2020/234 dated November 24, 2020.
- 5. Trades resulting from this session shall not attract any obligation in terms of funds pay-in and/or pay-out.
- 6. Kindly participate actively in the mock trading session from all trading software and re-login into live environment to check the connectivity and to avoid login problems on Monday, September 06, 2021.
- 7. Kindly refer to the website of NSE at www.nseindia.com for any information which may be updated by the Exchange on the mock trading session.
- 8. In case of any queries please call Toll Free no: 1800 266 0050 (Option 1).



Annexure – 2

Pre-requisites / General guidelines for participating in the Mock environment

All members eligible to trade in CM segment in live market are enabled for participating in the mock trading sessions with their existing user ids, IP and Box Id mapping that of the live environment. Accordingly, members are requested to ensure the following:

- 1. You are able to successfully telnet the Exchange host from the IP you wish to participate
- 2. The Box ID with appropriate messages has been created on the IP in the respective segments you wish to participate.
- 3. In case you wish to participate via Non-NEAT frontend (NNF) applications, kindly ensure that the User Id is of type dealer, is converted for NNF and is mapped with the IP.
- 4. Members are required to set appropriate branch and / user limits from their corporate manager terminal in the respective segments, prior to placing orders in the Mock.
- 5. Members are requested to send requests via email pertaining to following activities with the details of User ID and segment for Exchange action only for the purpose of MOCK participation
 - a. Pro enablement
 - b. CTCL conversion (only dealer ID can be converted)
 - c. Password Reset for Corporate Manger user id
 - d. Unlocking of Corporate Manager user id
- 6. Password Reset / Unlocking of all other types of user ids should be done from the corporate manger user id by the member in the respective segments.

Annexure – 3

Important guidelines in case of switchover of trading system to Disaster Recovery (DR) Site

- 1. Kindly note below points after switch over to DR site:
 - a. Trades of primary site will be available in Previous Trades window.
 - b. Trades can be modified/cancelled using Multiple Trade Modification and Cancellation window respectively.
 - c. Messages of primary site will be available in TWS Message Area.
- 2. If user renames or deletes the User folder and re-login the NEAT+ terminal, then data of primary site shall not be available in the functional window as mentioned above point.